

FORM PTO-1390		U S Department of Commerce Patent and Trademark Office	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		Attorney's Docket No. 2630-111 U.S. Application No. (if known, see 37 CFR 1.5) 09/937899	
INTERNATIONAL APPLICATION NO. PCT/FI00/00260		INTERNATIONAL FILING DATE 29 March 2000 (03.29.00)	
TITLE OF INVENTION DIAGNOSIS OF A PERSON'S RISK FOR DEVELOPING ATHEROSCLEROSIS OR DIABETIC RETINOPATHY		PRIORITY DATE CLAIMED 15 April 1999 (04.15.99)	
APPLICANT(S) FOR DO/EO/US KOULU, Markku; KARVONEN, Matti; PESONEN, Ullamari; and UUSITUPA, Matti			
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>ITEMS 11. TO 16. below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: Statement Pursuant to 37 CFR 1.821(f), with sequence diskette 			

U.S. APPLICATION NO. <small>if known, see 37 CFR 1.10</small> 09/957899	INTERNATIONAL APPLICATION NO. PCT/FI00/00230	ATTORNEY DOCKET NO. 2630-111	
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492)(a)(1)-(5): Search Report has been prepared by the EPO or JPO \$ 860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$ 710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 1,000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00		CALCULATIONS ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 1,000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	
Claims	Number Filed	Number Extra	Rate
Total Claims	8 -20 =	none	X \$18.00
Independent Claims	4 - 3 =	1	X \$80.00
Multiple dependent claim(s) (if applicable)			+ \$270.00
TOTAL OF ABOVE CALCULATIONS =			\$ 1,080.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		\$	
SUBTOTAL =		\$ 540.00	
Processing fee of \$130.00 for furnishing the English translation later [] 20 [] 30 than months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
TOTAL NATIONAL FEE =		\$ 540.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+ \$ 40.00	
TOTAL FEES ENCLOSED =		\$ 580.00	
		Amount to be refunded	\$
		charged	\$
a. <input checked="" type="checkbox"/> Two checks in the amount of \$ 540.00 and 40.00 to cover the above fees are enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 02-2135 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Payment by credit card. (Form PTO-2038 enclosed.)			
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: Jeffrey L. Ihnen, Reg. No. 28,957 Rothwell, Figg, Ernst & Manbeck 555 13th St., N.W. Washington, D.C. 20004 Phone: 202/783-6040			
 Signature _____ JEFFREY L. IHNEN Name _____			
Atty. Docket No. 2630-111 Dated: 28 September 2001 28,957 Registration Number _____			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
<i>Application No.</i>	09/937899 (to be assigned)
<i>Filing Date</i>	28 September 2001
<i>First Named Inventor</i>	Markku KOULU
<i>Group Art Unit</i>	
<i>Examiner Name</i>	
<i>Attorney Docket No.</i>	2630-111
<i>Title of the Invention:</i>	DIAGNOSIS OF A PERSON'S RISK FOR DEVELOPING ATHEROSCLEROSIS OR DIABETIC RETINOPATHY

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Prior to examination of the above U.S. national phase application of PCT/FI00/000260, filed concurrently herewith, please enter the following amendments thereto:

IN THE CLAIMS

Please cancel claims 1-3, 12 and 13.

Please amend claims 4, 7, 8 and 11 as shown on the following pages.

Marked-up copies of the original text of the amended claims are attached. Material inserted is indicated by underlining and material deleted is indicated by brackets.

Clean Copy of Amended Claims

4 (amended). A method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis on the basis of a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, for the prevention of developing atherosclerosis, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.

7 (amended). A method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis on the basis of a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, for the prevention of developing atherosclerosis, comprising subjecting the person to specific gene therapy aimed to repair the mutated NPY sequence.

8 (amended). A method for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy on the basis of a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, for the prevention of developing diabetic retinopathy, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.

11 (amended). A method for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy on the basis of a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, for the prevention of developing diabetic retinopathy, comprising subjecting the person to specific gene therapy aimed to repair the mutated NPY sequence.

REMARKS

The claims have been amended to delete multiple dependencies and to place them in order for examination. No new matter has been added by these amendments, and their entry is therefore requested.

RESPECTFULLY SUBMITTED,					
NAME AND REG. NUMBER	Jeffrey L. Ihnen, Registration No. 28,957				
SIGNATURE			DATE	28 SEPTEMBER 2001	
Address	ROTHWELL, FIGG, ERNST & MANBECK Suite 701-East, 555 13th Street, N.W.				
City	Washington	State	D.C.	Zip Code	20004
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031

Attachments: Marked-Up Copies of Amendments

Amended Claims - Changes made

4 (amended). A method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis [according to claim 1 or 2] on the basis of a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, for the prevention of developing atherosclerosis, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.

7 (amended). A method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis [according to claim 1 or 2] on the basis of a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, for the prevention of developing atherosclerosis, comprising subjecting the person to specific gene therapy aimed to repair the mutated NPY sequence.

8 (amended). A method for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy [according to claim 3] on the basis of a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, for the prevention of developing diabetic retinopathy, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.

11 (amended). A method for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy [according to claim 3] on the basis of a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, for the prevention of developing diabetic retinopathy, comprising subjecting the person to specific gene therapy aimed to repair the mutated NPY sequence.

DIAGNOSIS OF A PERSON'S RISK FOR DEVELOPING ATHEROSCLEROSIS OR DIABETIC RETINOPATHY

5 FIELD OF THE INVENTION

This invention relates to methods for diagnosing a person's susceptibility for having an increased risk for the development of atherosclerosis and a diabetic person's susceptibility for having an increased risk for the development of diabetic 10 retinopathy. The invention relates further to methods for treating persons diagnosed for having increased risk for the development of said diseases, in order to prevent the development of said diseases. The invention also concerns methods to investigate or screen pharmaceuticals or genetic aims useful in the treatment of said diseases, by using an animal model including a transgenic animal.

15

BACKGROUND OF THE INVENTION

The publications and other materials used herein to illuminate the background of the invention, and in particular, cases to provide additional details respecting the 20 practice, are incorporated by reference.

Neuropeptide Y (NPY) is a member of the pancreatic polypeptide family and neuromodulator that is secreted widely by neurons of the central and peripheral nervous systems and it is the most abundant peptide in the brain and in the heart (1-25 4). NPY is the most potent orexigenic neuropeptide and may have tonic inhibitory action on leptin mediated satiety signal (2-3,5). NPY stimulates insulin secretion (6) and insulin-induced glucose uptake in normal rats (7). In contrast, insulin and insulin-like growth factor II suppress hypothalamic NPY release (8). In animal models of obesity and Type 2 diabetes, enhanced activity of NPY neurons due to 30 hypothalamic resistance of insulin inhibition may contribute to hyperphagia,

reduced energy expenditure and obesity (9). Further, NPY participates in the control on hypothalamic-pituitary-adrenal axis (10). In the cardiovascular system NPY is a vasoconstrictor, it inhibits the release of norepinephrine and potentiates the norepinephrine response (11). Interestingly, in experimental diabetes

5 cardiorespiratory responses to NPY have been shown to be altered (12-13). Further, NPY may have angiogenic properties (4) that could enhance the development of atherosclerosis. The widespread effects of NPY are mediated by several different subtypes of NPY receptors (14). We identified a rather common leucine⁷ to proline⁷ polymorphism (Leu⁷/Pro) very recently (15). This polymorphism was
10 found to be associated with significantly higher serum total- and LDL cholesterol levels particularly in obese subjects in two independent Finnish and one Dutch study population. Further, apolipoprotein B levels were elevated in non-diabetic subjects with Leu⁷/Pro-polymorphism in one of these populations (15). Although
15 the biochemical and physiological link between cholesterol metabolism and NPY is currently not known, the Leu⁷/Pro-polymorphism of NPY gene should be considered as a new genetic marker for high cholesterol levels in obese subjects.

SUMMARY OF THE INVENTION

20 According to one aspect, this invention concerns a method for diagnosing a person's susceptibility for having an increased risk for the development of atherosclerosis, said method comprising determining whether said subject has a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said
25 preproNPY, said polymorphism being indicative of an increased risk for the development of atherosclerosis.

According to another aspect, the invention concerns a method for diagnosing a diabetic person's susceptibility for having an increased risk for the development of
30 diabetic retinopathy, said method comprising determining whether said subject has

a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, said polymorphism being indicative of an increased risk for the development of diabetic retinopathy.

5

According to a third aspect, the invention concerns a method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis, or for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy, for the prevention of developing any of said diseases, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.

10
15
20
25
30

According to a fourth aspect, the invention concerns a method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis, or for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy, for the prevention of developing any of said diseases, comprising subjecting the person to specific gene therapy aimed to repair the mutated NPY signal peptide sequence.

According to a fifth aspect, the invention concerns a method to investigate or screen pharmaceuticals or genetic aims useful in the treatment of atherosclerosis or diabetic retinopathy, by using an animal model including a transgenic animal which carries a human DNA sequence comprising a nucleotide sequence encoding a prepro-neuropeptide Y (preproNPY) or part thereof encoding mature human NPY peptide, where the leucine amino acid in position 7 of the signal peptide part of said preproNPY i) is unchanged or ii) has been replaced by proline.

According to a sixth aspect, the invention concerns a method to investigate or screen pharmaceuticals or genetic aims useful in the treatment of atherosclerosis or diabetic retinopathy, by using an animal model including a transgenic animal, which

carries a DNA sequence comprising a nucleotide sequence encoding otherwise normal mouse NPY sequence or part thereof encoding mature mouse NPY peptide, but in which the nucleotide sequence encoding the mouse signal peptide is replaced by human signal peptide sequence encoding either normal or mutated human signal peptide.

5

BRIEF DESCRIPTION OF THE DRAWINGS

10 Figure 1a illustrates schematically the molecular structure of the human NPY gene,

the preproNPY peptide and the mature NPY peptide,

15

Figure 1b shows the nucleotide sequence of the human NPY gene. Upper case indicates exonic sequences and lower case intronic sequences. Genbank accession numbers are given in parenthesis. The arrow shows the position in which thymidine (T) of the normal gene is replaced by cytosine (C) to give the mutant gene. The underlined sequence in Exon 2 is the sequence encoding the signal peptide of 28 amino acids (Exon 1 is SEQ ID NO:1, exon 2 is SEQ ID NO:2, exon 3 is SEQ ID NO:3 and exon 4 is SEQ ID NO:4), and

20 Figure 1c shows the nucleotide sequence of the human preproNPY mRNA (SEQ ID NO:5, with the protein sequence set forth in SEQ ID NO:6). The arrow shows the position in which thymidine (t) of the normal mRNA is replaced by cytosine (c) to give the mutant mRNA.

25 DETAILED DESCRIPTION OF THE INVENTION

Neuropeptide Y (NPY) is a 36-amino-acid neurotransmitter widely present in the central and peripheral nervous systems. NPY has multiple actions, which control body energy balance and cardiovascular function. We have recently demonstrated
30 that the subjects having Pro7 in the signal peptide of NPY have higher serum

cholesterol and apolipoprotein B levels when compared to individuals having wildtype (Leu7/Leu7) signal peptide sequence. The present invention is based on a study of the association of Leu7 to Pro polymorphism of the NPY gene with common carotid intima-media-thickness (IMT) assessed by ultrasonography cross-sectionally from the 10-year follow-up study of newly diagnosed patients with Type 2 diabetes (81 patients, 41 males, mean age 67.1 years) and in non-diabetic subjects (105 subjects, 48 males, mean age 65.5 years) who were genotyped for Leu7Pro polymorphism in preproNPY gene. The carrier frequency of the Pro7 substitution was 9.9 % in diabetic patients and 14.3 % in control subjects ($p=0.360$). The mean common carotid IMT was in non-diabetic subjects without Leu7Pro polymorphism 1.04 ± 0.02 and with it 1.14 ± 0.04 mm ($p=0.156$) and in diabetic patients 1.18 ± 0.03 and 1.58 ± 0.21 mm ($p=0.004$), respectively. In the analysis of covariance of the entire group the mean common carotid IMT was independently associated with the Leu7Pro-polymorphism ($F=5.165$, $p=0.024$). The model included age, gender, diabetes, clinical macrovascular disease, smoking, systolic blood pressure and LDL-cholesterol. Furthermore, diabetic patients having the Pro7 in preproNPY had significantly more often diabetic retinopathy ($p=0.04$) when compared to patients with the Leu7/Leu7 genotype. The present study indicates that the presence of Pro7 substitution in the preproNPY is strongly associated with increased carotid atherosclerosis in diabetic and non-diabetic subjects, even after adjustment for known risk factors. Furthermore, this is the first evidence that Pro7 in the preproNPY increases the risk of type 2 diabetic patients to develop diabetic retinopathy.

25 The DNA sequence or the mutant signal peptide or said peptide associated with any other cleavage product of preproNPY can be used for screening a subject to determine if said subject is a carrier of a mutant NPY gene.

30 The determination can be carried out either as a DNA analyse according to well known methods, which include direct DNA sequencing of the normal and mutated

NPY gene, allele specific amplification using the polymerase chain reaction (PCR) enabling detection of either normal or mutated NPY sequence, or by indirect detection of the normal or mutated NPY gene by various molecular biology methods including e.g. PCR- single stranded conformation polymorphism (SSCP)-

5 method or denaturing gradient gel electrophoresis (DGGE). Determination of the normal or mutated NPY gene can also be done by using restriction fragment length polymorphism (RFLP)-method, which is particularly suitable for genotyping large number of samples.

10 The determination can also be carried out at the level of RNA by analysing RNA expressed at tissue level using various methods. Allele spesific probes can be designed for hybridization. Hybridization can be done e.g. using Northern blot, RNase protection assay or in situ hybridization methods. RNA derived from the normal or mutated NPY gene can also be analysed by converting tissue RNA first to cDNA and thereafter amplifying cDNA by an allele spesific PCR-method and carrying out the analysis as for genomic DNA as mentioned above.

15

20 Alternatively, the determination can be carried out as an immunoassay where a sample is contacted with an antibody capable of binding the signal peptide or said peptide associated with any other cleavage product of preproNPY.

25 Antibodies can be raised against normal or mutated preproNPY or more specifically against normal or mutated signal peptide part of the NPY. The production of antibodies can be done in experimental animals in vivo to obtain polyclonal antibodies or in vitro using cell lines to obtain monoclonal antibodies.

30 A person diagnosed for having an increased risk for the development of atherosclerosis, or a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy, can be treated for the prevention of developing any of said diseases administering to said subject an effective amount of an agent

counteracting the influence of the mutated NPY gene. This can be done by specific gene therapy aimed to repair the mutated NPY sequence, or by administering pharmacotherapies, which are aimed to modulate synthesis, release or metabolism of the endogenous NPY, or to interact in a specific manner at NPY target sites by 5 modulating effects of NPY with specific NPY receptor proteins. Currently, five different subtypes of NPY receptors have been cloned and characterized (Y1-Y5 receptors) and drug molecules specifically interacting with these NPY receptors have been synthesized. The pharmacotherapy described is not limited to only these named receptors or mechanisms, but also covers other NPY receptors and related 10 mechanisms to be discovered including the secretion of NPY.

15 Counteracting the influence of the mutated NPY gene in a patient by using an antisense therapy or gene switching or replacement, which includes targeted correction of disease-related mutation or site-directed inactivation of the mutant allele by homologous recombination.

20 The antisense therapy refers to methods designed to impair translation through direct interactions with target messenger RNA (mRNA). This can be accomplished by applying a targeted oligonucleotide, which forms Watson-Crick base pairs with the messenger RNA whose function is to be disrupted. The inhibition of gene expression by antisense oligonucleotide depends on the ability of an antisense oligonucleotide to bind a complementary mRNA sequence and prevent the 25 translation of the mRNA. It is possible to correct a single mutant base in a gene by using an oligonucleotide based strategy (Giles et al., 1995; Schwab et al., 1994; Yoon et al., 1996). A short, 7 or 8 bases, oligonucleotide is enough to posses an antisense activity and specificity, which depends greatly on the flanking sequences of the target RNA. Binding should be enough to promote stable binding and RNase H –mediated cleavage.

We are counteracting the influence of the mutated NPY gene by using a short, allele specific oligonucleotide, which includes the sequence of mutated part: ...cga **ct/cg** ggg...(mutated base marked on bold). This can be accomplished by using oligonucleotides of various lengths, but all recognizing the mutated base sequence.

5 According to the predicted secondary structure of the preproNPY mRNAs (Fig 1 and 2), the best target sequence is between -9 and +2 bases around the mutation i.e. sequence targeting to 3'-ac aag cga ctg **g-5'**. This sequence contains 'bulbs' which are known to enhance the binding of oligonucleotide to the target mRNA.

10 It is possible to use unmodified oligonucleotides, but to increase their stability, nuclease resistance, and penetration to the nucleus, several modifications of oligonucleotide can be used. A relatively large number of modified pyrimidines have been synthesized, mainly C-2, C-4, C-5, and C-6 sites, and incorporated into nucleotides. Also purine analogs can be synthesized and incorporated into oligonucleotides. The 2' position of the sugar moiety, pentofuranose ring, is substituted with methoxy, propoxy, O-alkoxy or methoxyethoxy groups. A new backbone for oligonucleotides that replace the phosphate or the sugar-phosphate unit has been made, like C-5 propynylpyrimidine-modified phosphothioate oligonucleotides. Also chimeric oligonucleotides with 5'- and 3'-ends are modified 15 with internucleotide linkages, like methylphosphorothioate, phosphodiester, or methylphosphonate can be used. A relatively new technique is conformationally restricted LNA (locked nucleic acid) oligonucleotides and peptide nucleic acids. Bioengineered ribozymes are structurally different, but their specificity also relay 20 on the recognition of the targeted mRNA sequence.

25 Gene replacement or gene switching techniques inactivate the mutated gene sequence and introduce a corrected one. This can be accomplished by transfecting exogenous gene with normal coding sequence and blocking mutant coding sequence with antisense oligonucleotide. Also a technique with only introducing a corrected 30 normal sequence without disrupting the mutated sequence could be used. This could

be used in heterozygous cells i.e. cell carrying one normal allele and one mutated allele resulting in an overexpression of normal alleles. Also homozygous mutant cells could be treated resulting in a dominant positive -effect i.e. the normal allele is expressed in higher degree than the mutant allele.

5

Influence of the mutated NPY sequence on the function of NPY gene can be investigated in transgenic animals. A transgenic animal can be generated using targeted homologous recombination methodology. Both normal and mutated sequence of human NPY signal peptide (or any DNA sequence comprising a nucleotide sequence encoding a prepro-neuropeptide Y (preproNPY) or part thereof encoding the amino acid sequence of the mature mouse or human mature NPY peptide, where either i) the leucine amino acid in position 7 of the signal peptide part of said preproNPY has been replaced by proline or ii) the leucine amino acid in position 7 of the signal peptide part of said preproNPY is unchanged) will be introduced into the sequence of NPY gene to replace the endogenous signal peptide sequence. Under these conditions, the endogenous NPY gene functions otherwise normally, but the synthesis of the preproNPY is regulated by either normal or mutated human NPY signal peptide sequence. This transgenic model can be used to investigate in a very specific manner the physiological importance of the mutated NPY gene. It also will provide an ideal preclinical model to investigate and screen new drug molecules, which are designed to modify the influence of the mutated NPY gene.

The invention is described more in detail in the following experiments.

25

EXPERIMENTAL

Study design

5 This study was a cross-sectional analysis from the 10-year examination of a cohort of patients with Type 2 diabetes and nondiabetic control subjects followed up from the time of diagnosis, as described earlier in detail (16-22). In brief, the original study comprised 133 patients with newly diagnosed Type 2 diabetes, aged 45 to 64 years, and 144 nondiabetic control subjects randomly selected from the population 10 register. The baseline study was carried out during the years 1979-81 and all subjects were collected from a defined area in Eastern Finland (16). All the subjects were invited for the 5- and 10-year follow-up examinations during the years 1985-86 (17) and 1991-92 (18-19), respectively. During the 10-year follow-up 36 (27 %) diabetic patients and eight (6 %) nondiabetic subjects died, mainly due to 15 cardiovascular diseases (18). At the 10-year examination, carotid ultrasonographic examinations (20-21) were performed for 84 (63 %) of the original diabetic and 119 (83 %) of the nondiabetic populations and genotype analysis was made for all these except for three diabetic and one non-diabetic subject. The study was approved by the Ethics Committee of the University of Kuopio.

20

Subjects and methods

25 The assessment of medical history and cardiovascular diseases, the use of medication, smoking, blood pressure, body-mass index (BMI) and waist-to-hip circumference ratio have been described in detail previously (18-22). The group “macrovascular disease“ refers to subjects with any previously defined evidence of myocardial infarction, stroke or intermittent claudication. An oral glucose tolerance test was performed by using a glucose dose of 75 g. The impaired glucose tolerance in control subjects was classified according to the WHO criteria (23). The collection 30 of blood specimens and the measurement of serum lipid and lipoproteins by

ultracentrifugation and precipitation methods, apolipoprotein B, plasma glucose and plasma insulin have been likewise presented previously (19-22).

Genotype analysis

5

PreproNPY genotype was determined by restriction fragment length polymorphism (RFLP) analysis from DNA extracted from the subjects peripheral blood by an investigator unaware of phenotype. Briefly, the polymorphism appears as a thymidine(1128) to cytosine(1128) substitution generating a Bsi EI restriction site, which was used to genotype the subjects for the Leu7Pro polymorphism, as described previously (15). The PCR products were digested by Bsi EI (New England Biolabs, Inc. Beverly, MA, USA) and digestions were analyzed by electrophoresis on 2% agarose gel.

10
15
20
25

Assessment of carotid atherosclerosis

The high-resolution B-mode ultrasonographic imaging protocol was designed to ensure the valid and reliable identification of arterial carotid references and the definition of near-wall and far-wall interfaces, as described previously in more detail (20-21,24). Briefly, the carotid artery was divided into two segments on the basis of arterial anatomy and geometry. The key anatomic features defining these segments were the proximal origin of the bulb (carotid bifurcation) and the tip of the flow divider, which separates internal from external carotid arteries. In longitudinal arterial images, the adventitia-media and the intima-lumen interfaces on the far wall were the specific anatomic boundaries defining the IMT. Two certified sonographers performed the carotid ultrasound examinations. A Biosound Phase Two ultrasound device equipped with a 10-MHz annular array probe was used. Video-recorded examinations were quantitatively analyzed at a central laboratory using a computer-assisted reading procedure (24-25). The mean

maximum of the far wall bilaterally was used as the measurement of the common carotid IMT.

Statistical methods

Our a priori hypothesis was that the subjects having Pro7 substitution in preproNPY have higher mean IMT compared to the subjects having wild type preproNPY (Leu7/Leu7). Associations of Leu7Pro polymorphism with continuous variables were calculated using Student's t-test and for categorized variables by Chi square test. The association of common carotid IMT with Leu7Pro polymorphism was further analyzed by analysis of covariance (ANCOVA) controlling for the effects of selected covariates. Variables with skewed distribution (eg.carotid IMT, insulin) were analyzed after logarithmic transformation. P-value equal or less than 0.05 was considered statistically significant. All statistical analyses were conducted with procedures from SPSS-Unix.

Results

The frequency of C1128 allele frequencies was not significantly different between non-diabetic (14.3 %) and diabetic (9.9%, p=0.36) groups. The characteristics of non-diabetic and diabetic subjects for Leu7/Leu7 and Pro7/ -groups are presented in Tables 1a-b. No differences in age, gender, body mass index, waist-to-hip-ratios, blood pressure levels and the frequencies of macrovascular disease were found between the genotype groups within the non-diabetic and diabetic groups. LDL-cholesterol was higher in non-diabetic subjects with Leu7/Pro-polymorphism than in those without (p=0.05), as we have reported previously (15). Although apolipoprotein B levels tended to be higher in Pro7/- group than in Leu7/Leu7- group, the differences were not statistically significant. Our previous study included only lean subjects without any medication known to affect cholesterol metabolism (like beta-blockers or diuretics) of the present non-diabetic group (15). In other

lipoproteins no evident differences were found, and interestingly, in diabetic patients there was no association with serum cholesterol, even when subjects were analyzed according to median body mass index (data not shown).

5 The mean common carotid IMT was about 25 % higher in diabetic patients with Pro7 allele than in those without it ($p=0.004$) and the respective increase in IMT was 9 % in non-diabetic subjects ($p=0.156$). In the analysis of covariance both groups combined (Table 2) the independent predictors of common carotid IMT were age, Pro7 allele, diabetes, systolic blood pressure, and macrovascular disease.

10 Furthermore, those diabetic patients having the Pro7 substitution in the preproNPY had significantly accelerated rate of diabetic retinopathy ($p=0.04$), when compared to diabetics with the Leu7/Leu7-genotype.

Discussion

15 Our findings based on elderly Finnish non-diabetic and diabetic subjects indicates that the Pro7 allele of preproNPY is strongly associated with increased carotid atherosclerosis, and even more markedly in diabetic patients. This finding is of importance, because an increase in the thickness of IMT of carotid arteries increases 20 the risk for cardiovascular events in a linear fashion even before clinical manifestations of cardiovascular diseases (26). In addition, the presence of Pro7 polymorphism in the preproNPY was significantly associated with the rate of diabetic retinopathy. The Pro7 allele was also associated with high serum LDL cholesterol levels and apolipoprotein B-levels in lean non-diabetic subjects (15), 25 but this was not found in diabetic patients regardless of their body weight.

30 Type 2 diabetes is a state characterized by markedly increased risk of atherosclerosis and although known risk factors contribute largely to the occurrence of diabetic macrovascular diseases (27), a large proportion of this vascular burden remains unexplained and search for other potential environmental, metabolic and

genetic contributors are warranted. In this study we show for the first time that diabetic patients with Pro7 allele have higher carotid IMT than those with Leu7/Leu7-genotype. Although this finding was based on a limited number of subjects, the lack of association of Pro7 allele with other risk factors measured in 5 diabetic patients makes the finding more intriguing. As non-diabetic control group included subjects with impaired glucose tolerance as any population-based study does and therefore, glucose tolerance is in a way continuum in this study population, we combined the groups in order to increase the statistical power of the study for the analysis of covariance. In this analysis age, diabetes, systolic blood 10 pressure and clinical macrovascular disease were, as previously reported (21), powerful explanatory variables of carotid IMT. Interestingly, the effect of NPY genotype remained statistically significant in this analysis. Other cardiovascular risk factors except fasting insulin in non-diabetic subjects were not associated with NPY genotype in either group. The selective mortality may cause bias in the 15 interpretation, as in any cross-sectional analysis. However, as LDL-cholesterol levels were constantly higher during the whole 10-year follow-up in lean non-diabetic control subjects with Pro7 allele and, on the other hand, the genotype effect on carotid IMT was more marked in diabetic patients who had high cardiovascular mortality from the time of diagnosis (18), it is likely that this study under-estimates 20 this association.

Why could then NPY enhance the development of atherosclerosis? First, this effect 25 may be mediated by the effects of the PreproNPY genotype on LDL-cholesterol metabolism (15). However, this effect is modulated by body weight (15) and as judged from the present study, no effect was seen in Type 2 diabetic patients in this regard (more detailed analysis of lipoproteins assessed either cross-sectionally or longitudinally gave no further insights in this regard). Second, NPY may have 30 angiogenic properties that could be implicated in the development of atherosclerosis. NPY has been shown to act as a smooth muscle mitogen (28), to stimulate attachment, migration, DNA synthesis (29), and the formation of capillary

tubes by human endothelial cells (4). Minor proportion of circulating NPY level is derived from endothelial cells and this endothelially derived NPY may act as an autocrine angiogenic factor even at very low concentrations (4). Subjects with Pro7 substitution in preproNPY may therefore be predisposed to increased arterial wall thickening seen as increased intima-media thickening of carotid arteries, because of impaired function of endothelial NPY. Third, NPY is an important modulator of autonomic nervous system. Majority of circulating NPY is derived from the perivascular sympathetic nerve endings, and the level of NPY is correlated to those of norepinephrine (30). Autonomic nervous dysfunction is an independent predictor of cardiovascular mortality in patients with Type 2 diabetes, as demonstrated from this study population (22). The mechanisms behind cardiovascular diseases and autonomic nervous dysfunction are speculative, but our unpublished observations suggest that cardiac autonomic regulation is altered in subjects with those with Pro7 substitution in the preproNPY. Therefore, we suggest that atherosclerosis may be associated with gene(s) involved in vascular development, lipid metabolism and autonomic nervous function and the recently found gene variant (15) in NPY is the first one in this respect shown to be related to accelerated atherosclerosis.

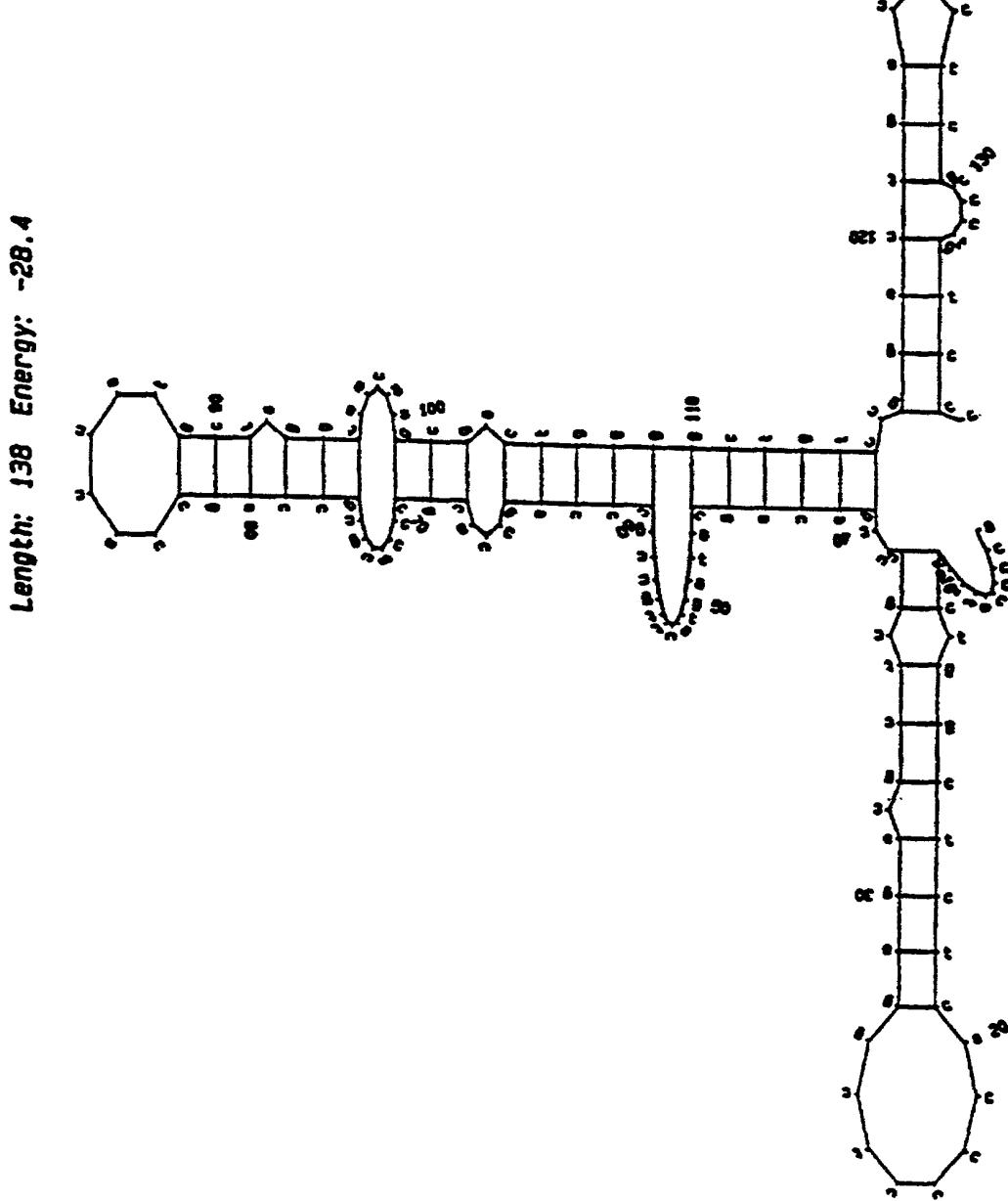
In conclusion, these results indicate that the presence of Pro7 substitution in the preproNPY is associated with ultrasonographically assessed carotid atherosclerosis in Finnish diabetic and non-diabetic subjects. Furthermore, this study provides first evidence that the Pro7 in the preproNPY is also associated with increased rate of diabetic retinopathy in NIDDM (Type 2 diabetes) patients, which could be potential target for drug development.

25

It will be appreciated that the methods of the present invention can be incorporated in the form of a variety of embodiments, only a few of which are disclosed herein. It will be apparent for the specialist in the field that other embodiments exist and do not depart from the spirit of the invention. Thus, the described embodiments are 30 illustrative and should not be construed as restrictive.

Scheme 1

12:43
Predicted secondary structure of preproNPY mRNA. The Scheme shows the predicted structure of the 5' end (1 to 138 bases) of the full preproNPY mRNA sequence published in GenBank Accession No. K01911. The secondary structure was predicted by using the MFOLD program of the Genetics Computer Group of the University of Wisconsin.



Scheme 2

Predicted secondary structure of preproNPY mRNA. The Scheme shows the predicted structure of the 5' end (1 to 138 bases) of the full preproNPY mRNA sequence published in GenBank Accession No. K01911. The secondary structure was predicted by using the MFOLD program of the Genetics Computer Group of the University of Wisconsin. The mutated base T to C is base number 106.

Squiggle plot of: 0982.mfold February 7, 1900 14:11
 (Linear) MFOLD of: 0982.seq T: 37.0 Check: 4340 from: 1 to: 138 February 7, 1900 14:07
 Length: 138 Energy: -26.4

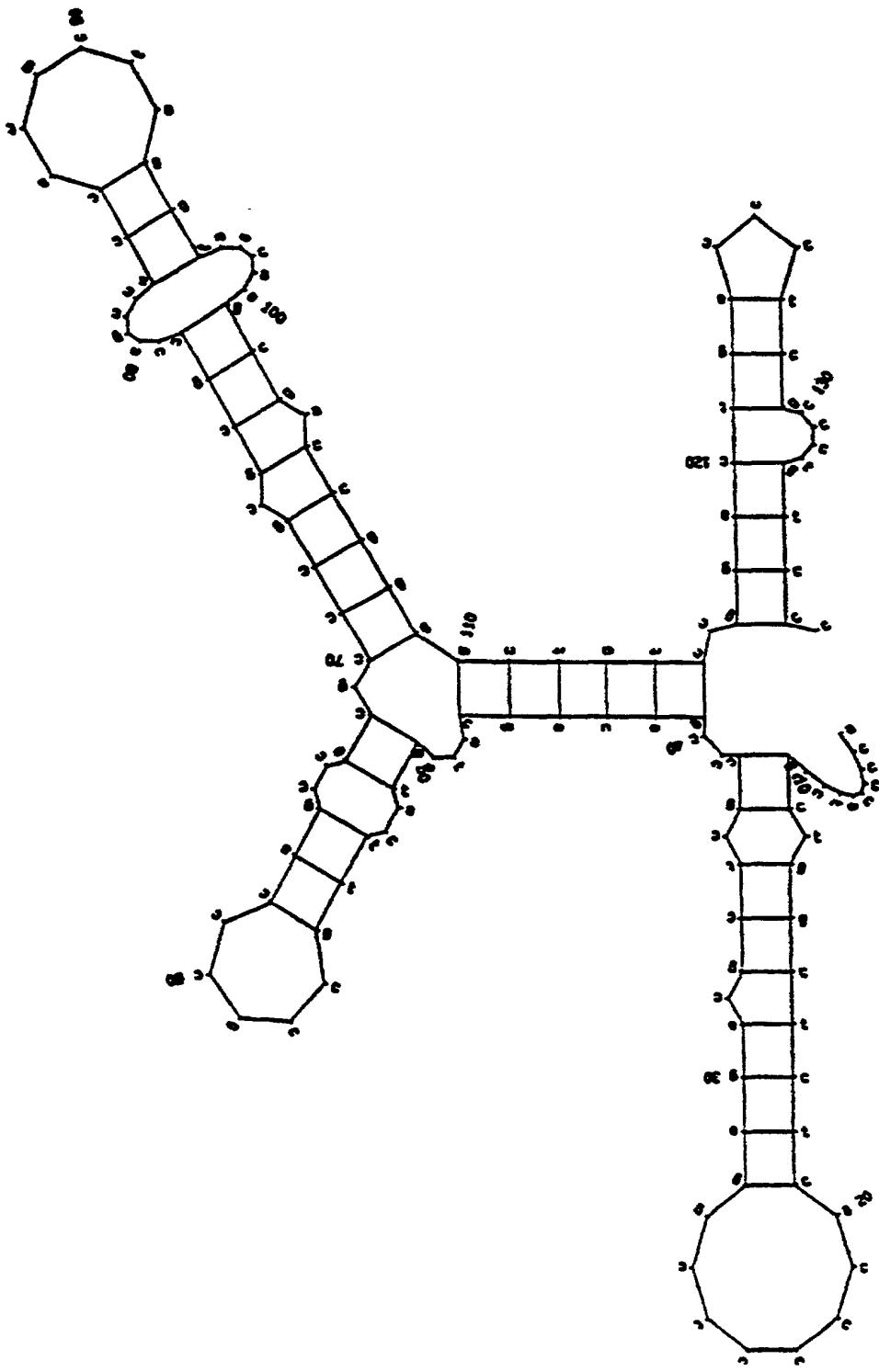


Table 1a. The clinical characteristics of the study population according to Leu7/Pro-
genotype in nondiabetic subjects

Characteristic	Leu7/Leu7 n=90	Pro7/- n=15	p-value
Age (years)	65.5±0.6	65.5±1.1	0.982
Male gender (n, %)	43 (48)	5 (33)	0.298
Body-mass index (kg/m ²)	27.8±0.5	28.4±1.2	0.611
Macrovascular disease (n, %)	11(12)	4(27)	0.139
Smoking history (n, %))	23(26)	5(33)	0.528
Treatment for hypertension (n, percentage)	26 (29)	6(40)	0.387
Systolic blood pressure (mmHg)	149±2	148±3	0.863
Diastolic blood pressure (mmHg)	85±1.1	85±3	0.847
Fasting serum insulin (mU/L)	11.0±0.6	14.8±2.2	0.056
Impaired glucose tolerance (n, %)	11 (12)	1(7)	0.531
Mean of common carotid IMT (mm)	1.04±0.02	1.14±0.4	0.156
Serum apolipoprotein B (mg/L)	1.04±0.03	1.12±0.08	0.285
Serum HDL cholesterol (mmol/L)	1.34±0.03	1.27±0.08	0.403
Serum LDL cholesterol (mmol/L)	4.11±0.09	4.61±0.30	0.05
Serum total cholesterol (mmol/L)	6.29±0.11	6.72±0.37	0.153
Serum triglycerides (mmol/L)	1.81±0.12	1.65±0.18	0.811
Waist-to-hip ratio	0.91±0.01	0.91±0.03	0.926

Table 1b. The clinical characteristics of the study population according to Leu7-/Pro-genotype in diabetic subjects

Characteristic	Leu7/Leu7 n=73	Pro7/- n=8	p-value
Age (years)	67.1±0.7	66.5±1.2	0.765
Male gender (n, %)	36 (49)	5 (63)	0.479
Body-mass index (kg/m ²)	29.4±0.6	27.6±4.1	0.344
Macrovascular disease (n, %)	28 (38)	5(63)	0.187
Smoking history (n, %)	25(34)	2(25)	0.598
Treatment for hypertension (n, percentage)	40(55)	5(63)	0.677
Systolic blood pressure (mmHg)	154± 2.8	150±10.3	0.637
Diastolic blood pressure (mmHg)	84±2	87±4	0.482
Fasting serum insulin (mU/L)	15.0±0.9	15.7±3.7	0.823
Mean of common carotid IMT (mm)	1.18±0.03	1.58±0.21	0.004
Serum apolipoprotein B (mg/L)	1.17±0.03	1.00±0.09	0.10
Serum HDL cholesterol (mmol/L)	1.11±0.03	1.27±0.12	0.142
Serum LDL cholesterol (mmol/L)	4.09±0.10	3.66±0.27	0.204
Serum total cholesterol (mmol/L)	6.44±0.16	5.95±0.36	0.325
Serum triglycerides (mmol/L)	2.62±0.22	2.09±0.34	0.455
Waist-to-hip ratio	0.94±0.01	0.98±0.03	0.222

**Table 2. Analysis of covariance for mean carotid intima-media-thickness
adjusting for the effects of Leu7/Pro polymorphism and covariates in the
combined cohort**

Risk Factor	F-value	Significance
Age	7.744	0.006
Gender	2.866	0.092
Diabetes	3.960	0.048
NPY Leu7/Pro	5.165	0.024
Macrovascular disease	4.278	0.040
Smoking history	2.225	0.138
Systolic blood pressure	5.754	0.018
LDL-cholesterol	0.142	0.707

2-way interaction: diabetes X NPY Leu7/Pro F=0.174, p=0.677

REFERENCES

1. Benarroch EE: Neuropeptides in the sympathetic system: presence, plasticity, modulation, and implications. *Ann Neurol* 36: 6-13, 1994
- 5
2. Tomaszuk A, Simpson C, Williams G: Neuropeptide Y, the hypothalamus and the regulation of energy homeostasis. *Horm Res* 46: 53-8, 1996
- 10
3. Palmiter RD, Erickson JC, Hollopeter G, Baraban SC, Schwartz MW: Life without neuropeptide Y. *Recent Prog Horm Res* 53: 163-99, 1998
- 15
4. Zukowska-Grojec Z, Karwatowska-Prokopcuk E, Rose W, Rone J, Movafagh S, Ji H, Yeh Y, Chen WT, Kleinman HK, Grouzmann E, Grant DS: Neuropeptide Y: a novel angiogenic factor from the sympathetic nerves and endothelium. *Circ Res* 83: 187-95, 1998
- 5
5. Sahu A, Kalra SP: Neuropeptide regulation of feeding behaviour Neuropeptide Y: TEM 4: 217-224, 1993
- 20
6. Moltz JH, McDonald JK: Neuropeptide Y: direct and indirect action on insulin secretion in the rat. *Peptides* 6:1155-1159, 1985
7. Vettor R, Pagano C, Granzotto M, Englaro P, Angeli P, Blum WF, Federspil G, Rohner-Jeanrenaud F, Jeanrenaud B: Effects of intravenous neuropeptide Y on insulin secretion and insulin sensitivity on skeletal muscle in normal rats. *Diabetologia* 41: 1361-7, 1998
- 25
8. Sahu A, Dube MG, Phelps CP, Sninsky CA, Kalra PS, Kalra SP: Insulin and insulin-like growth factor II suppress neuropeptide Y release from the nerve

terminals in the paraventricular nucleus: a putative hypothalamic site for energy homeostasis. *Endocrinology* 136: 5718-24, 1995

9. Frankish HM, Dryden S, Hopkins D, Wang Q, Williams G: Neuropeptide Y, the hypothalamus, and diabetes: insights into the central control of metabolism. *Peptides* 16: 757-71, 1995

10. Wahlestedt C, Skagerberg G, Ekman R, Heilig M, Sundler F, Hakanson R: Neuropeptide Y (NPY) in the area of the hypothalamic paraventricular nucleus activates the pituitary-adrenocortical axis in the rat. *Brain Res* 417:33-38, 1987

11. Shine J, Potter EK, Biden T, Selbie LA, Herzog H: Neuropeptide Y and regulation of the cardiovascular system. *J Hypertens (Suppl)* 12:S41-S45, 1994

12. Dunbar JC, Ergene E, Anderson GF, Barraco RA: Decreased cardiorespiratory effects of neuropeptide Y in the nucleus tractus solitarius in diabetes. *Am J Physiol* 262: R865-71, 1992

20. Lind H, Erlinge D, Brunkwall J, Edvinsson L: Selective attenuation of neuropeptide-Y-mediated contractile responses in blood vessels from patients with diabetes mellitus. *Clin Auton Res* 5: 191-7, 1995

14. Larhammar D, Söderberg C, Lundell I: Evolution of the neuropeptide Y family and its receptors. *Ann NY Acad Sci* 839: 35-40, 1998

25. Karvonen MK, Pesonen U, Koulu M, Niskanen L, Laakso M, Rissanen A, Dekker JM, Hart LM, Valve R, Uusitupa MIJ: Association of a leucine(7)-to-proline(7) polymorphism in the signal peptide of neuropeptide Y with high serum cholesterol and LDL cholesterol levels. *Nat Med* 4:1434-1437, 1998

16. Uusitupa M, Siitonen O, Aro A, Pyörälä K: Prevalence of coronary heart disease, left ventricular failure and hypertension in middle-aged, newly diagnosed type 2 (non-insulin-dependent) diabetic subjects. *Diabetologia* 28: 22-27, 1985

5 17. Niskanen LK, Uusitupa MI, Sarlund H, Siitonen O, Pyörälä K: Five-year follow-up study on plasma insulin levels in newly diagnosed NIDDM patients and nondiabetic subjects. *Diabetes Care* 13: 41-48, 1990

10 18. Uusitupa MIJ, Niskanen LK, Siitonen O, Voutilainen E, Pyörälä K: Ten-year cardiovascular mortality in relation to risk factors and abnormalities in lipoprotein composition in type 2 (non-insulin-dependent) diabetic and non-diabetic subjects. *Diabetologia* 36: 1175-1184, 1993

15 19. Niskanen L, Uusitupa M, Karjalainen J, Siitonen O: Metabolic evolution of Type 2 diabetes - 10-year follow-up study. *J Intern Med* 236: 263-270, 1994

20 20. Uusitupa M, Niskanen L, Luoma J, Mercouri M, Rauramaa R, Ylä-Herttuala S: Autoantibodies against oxidized LDL as predictors of atherosclerotic vascular disease in non-insulin-dependent diabetes mellitus. *Arterioscl & Thromb & Vasc Biol* 16: 1236-1242, 1996

25 21. Niskanen L, Rauramaa R, Miettinen H, Haffner SM, Mercuri M, Uusitupa M: Carotid artery intima-media thickness in elderly patients with NIDDM and in nondiabetic subjects. *Stroke* 27: 1986-1992, 1996

22. Töyry J, Niskanen L, Mäntysaari M, Länsimies E, Uusitupa M: Occurrence, predictors, and clinical significance of autonomic neuropathy in NIDDM: 10-year follow-up from the diagnosis. *Diabetes* 45: 308-315, 1996

23. World Health Organization. Diabetes mellitus: report of a WHO Study Group. Geneva: World Health Org., Tech Rep Ser, no 727, 1985

24. Mercuri M, Bond MG, Nichols FT, Carr AA, Flack JM, Byington R, Raines J, for the MIDAS Group. Baseline reproducibility of B-mode ultrasound imaging measurements of carotid intimal media thickness: the Multicenter Isradipine Diuretic Atherosclerosis Study (MIDAS). *J Cardiovasc Diagnosis Procedures* 11: 241-252, 1993

10 25. Rauramaa R, Väistö S, Mercuri M, Rankinen T, Penttilä I, Bond MG: Association of risk factors and body iron status to carotid atherosclerosis in middle-aged Eastern Finnish men. *Eur Heart J* 15: 1020-1027, 1994

15 26. O'Leary DH, Polak JF, Kronmal RA, Manolio TA, Burke GL, Wolfson SKJ. Carotid-artery intima and media thickness as a risk factor for myocardial infarction and stroke in older adults. *Cardiovascular Health Study Collaborative Research Group*. *N Engl J Med* 1999; 340:14-22.

20 27. Stamler J, Vaccaro O, Neaton JD, Wentworth D for the Multiple Risk Factor Intervention Trial Research Group: Diabetes, other risk factors and 12-years cardiovascular mortality for men screened in the Multiple Risk Factor Intervention Trial. *Diabetes Care* 16: 434-444, 1993

25 28. Zukowska-Grojec Z, Pruszczak P, Colton C, Yao J, Shen GH, Myers AK, Wahlestedt C: Mitogenic effect of neuropeptide Y in rat vascular smooth muscle cells. *Peptides* 1993; 14:263-268.

29. Shigeri Y, Fujimoto M: Neuropeptide Y stimulates DNA synthesis in vascular smooth muscle cells. *Neurosci Lett* 1993; 149:19-22.

30. Lundberg JM, Franco-Cereceda A, Hemsen A, Lacroix JS, Pernow J:
Pharmacology of noradrenaline and neuropeptide tyrosine (NPY)-mediated
sympathetic cotransmission. *Fundam Clin Pharmacol* 4:373-391, 1990

CLAIMS

1. A method for diagnosing a person's susceptibility for having an increased risk for the development of atherosclerosis, said method comprising determining whether 5 said subject has a polymorphism in the signal peptide part of the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, said polymorphism being indicative of an increased risk for the development of atherosclerosis.

10 2. The method according to claim 1 wherein said person has diabetes.

3. A method for diagnosing a diabetic person's susceptibility for having an increased risk for the development of diabetic retinopathy, said method comprising determining whether said subject has a polymorphism in the signal peptide part of 15 the human preproNPY, said polymorphism comprising the substitution of the position 7 leucine for proline in the signal peptide part of said preproNPY, said polymorphism being indicative of an increased risk for the development of diabetic retinopathy.

20 4. A method for treating a person, diagnosed for having an increased risk for the development of atherosclerosis according to claim 1 or 2, for the prevention of developing atherosclerosis, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.

25 5. The method according to claim 4 wherein said agent is a pharmaceutical aimed to modulate synthesis, secretion or metabolism of the endogenous NPY, or to interact in a specific manner at NPY target sites by modulating effects of NPY with specific NPY receptor proteins.

6. The method according to claim 4 wherein said agent is a pharmaceutical aimed to modulate gene expression of normal or mutated NPY gene.

7. A method for treating a person, diagnosed for having an increased risk for the 5 development of atherosclerosis according to claim 1 or 2, for the prevention of developing atherosclerosis, comprising subjecting the person to specific gene therapy aimed to repair the mutated NPY sequence.

8. A method for treating a diabetic person, diagnosed for having an increased risk 10 for the development of diabetic retinopathy according to claim 3, for the prevention of developing diabetic retinopathy, comprising administering to said person an effective amount of an agent counteracting the influence of the mutated NPY gene.

9. The method according to claim 8 wherein said agent is a pharmaceutical aimed to 15 modulate synthesis, release or metabolism of the endogenous NPY, or to interact in a specific manner at NPY target sites by modulating effects of NPY with specific NPY receptor proteins.

10. The method according to claim 8 wherein said agent is a pharmaceutical aimed 20 to modulate gene expression of normal or mutated NPY gene.

11. A method for treating a diabetic person, diagnosed for having an increased risk for the development of diabetic retinopathy according to claim 3, for the prevention of developing diabetic retinopathy, comprising subjecting the person to specific 25 gene therapy aimed to repair the mutated NPY sequence.

12. A method to investigate or screen pharmaceuticals or genetic aims useful in the treatment of atherosclerosis or diabetic retinopathy, by using an animal model including a transgenic animal which carries a human DNA sequence comprising a

nucleotide sequence encoding a prepro-neuropeptide Y (preproNPY) or part thereof encoding mature human NPY peptide, where the leucine amino acid in position 7 of the signal peptide part of said preproNPY i) is unchanged or ii) has been replaced by proline.

5

13. A method to investigate or screen pharmaceuticals or genetic aims useful in the treatment of atherosclerosis or diabetic retinopathy, by using an animal model including a transgenic animal, which carries a DNA sequence comprising a nucleotide sequence encoding otherwise normal mouse NPY sequence or part thereof encoding mature mouse NPY peptide, but in which the nucleotide sequence encoding the mouse signal peptide is replaced by human signal peptide sequence encoding either normal or mutated human signal peptide.

5 10

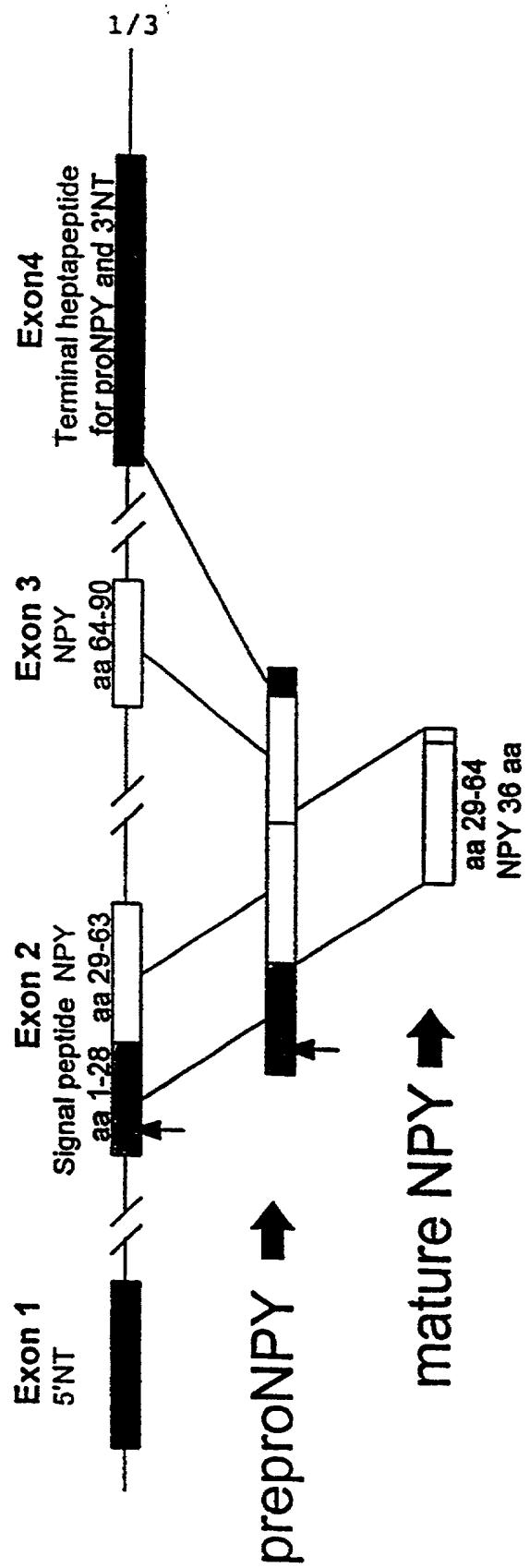


FIG. 1a

HUMAN NEUROPEPTIDE Y (NPY) GENE

EXON 1 (M14295)

1 ccgcttcttc aggcaagtgcc tggggcggga gggttgggt gtgggtggct ccctaagtcg
 61 acactcgtgc ggctgcgggtt ccagccccct cccccccgcca ctcagggggcg ggaagtggcg
 121 ggtgggagtc acccaagcgt gactgccccga ggccccctctt gccgcggcgaa ggaagctcca
 181 taaaagccct gtcgcgaccc gctctctgcA CCCCATCCGC TGGCTCTCAC CCCTCGGAGA
 241 CGCTCGCCCG ACAGCATAGT ACTTGCCGCC CAGCCACGCC CGCGCGCCAG CCACCGTGAG
 301 tgctacgacc cgtctgtcta ggggt

EXON 2 (M14296)

1 cccgtccgtt gagccttctg tgcctgcagA TGCTAGGTAA CAAGCGACTG GGGCTGTCCG
 61 GACTGACCCT CGCCCTGTCC CTGCTCGTGT GCCTGGGTGC GCTGGCCGAG GCGTACCCCT
 121 CCAAGCCGGA CAACCCGGGC GAGGACGCAC CAGCGGGAGGA CATGGCCAGA TACTACTCAG
 181 CGCTGCGACA CTACATCAAC CTCATCACCA GGCAAGAGgtg ggtgggacccg cgggaccgat
 241 tccggga

C
↓

EXON 3 (M14297)

1 acttgcttta aaagactttt tttttccag ATATGGAAAA CGATCTAGCC CAGAGACACT
 61 GATTCAGAC CTCTTGATGA GAGAAAGCAC AGAAAATGTT CCCAGAACTC Ggtatgacaa
 121 ggcttgtat ggggacattt tt

EXON 4 (M14298)

1 CCTTACATGC TTTGCTTCTT ATGTTTACA Ggcttgaaga ccctgcaatg tggtgatggg
 61 aaatgagact tgctctctgg cttttccctt ttttcagccc atatttcattc gtgtaaaacg
 121 agaatccacc catcctacca atgcattgcag ccactgtgct gaattctgca atgtttccct
 181 ttgttcatcat ttttatatgt tttttaaa taaatgtatca tttttttttt tttttttttt
 241 cttcaatgaa aaatcttta caatagttag gttttttttt tttttttttt tttttttttt

FIG. 1b

HUMAN NEUROPEPTIDE Y (NPY) mRNA

K01911

1 accccatccg ctggctctca cccctcggag acgctcgccc gacagcata
61 ccagccacgc cccgcgcgcca gccaccatgc taggttaacaa gcgac
121 tgaccctcgc cctgtccctg ctcgtgtgcc tgggtgcgct ggccgaggcg
181 agccggacaa cccggggcag gacgcaccag cgaggacat ggccagatac
241 tgcgacacta catcaacctc atcaccaggc agagataatgg aaaacgatcc
301 cactgatttc agacctcttgc atgagagaaa gcacagaaaa tttccca
361 aagaccctgc aatgtggtga tggaaatga gacttgcctt ctggccttt
421 gcccattttt catcgtaa aacgagaatc caccatcct accaatgcatt
481 tgctgaattt tgcattgttca tcattgtata tatgtgtttaaaataa
541 atcatgcatt c

C
↓

FIG. 1c

**DECLARATION AND POWER OF
ATTORNEY FOR UTILITY OR DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Declaration Submitted with Initial Filing Declaration Submitted after Initial Filing

Attorney Docket No.	2630-111
First Named Inventor	
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: "Diagnosis of a person's risk for developing atherosclerosis or diabetic retinopathy"

the specification of which is attached hereto.

the specification of which was filed on 29 March 2000 as (United States Application Number _____ or) PCT International Application Number PCT/FI00/00260 and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Numbers	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Claimed	Certified Copy Attached?
			<input checked="" type="checkbox"/>	<input type="checkbox"/> YES <input type="checkbox"/> NO
09/291,994	USA	04/15/1999 (15 April 1999)	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

--	--

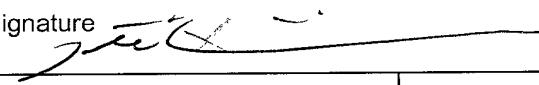
I or we hereby appoint the registered practitioner(s) associated with Customer No. **6449** to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Direct all correspondence to Customer Number **6449**.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name <u>Markku</u> (first and middle [if any])		Family Name <u>KOULU</u> or Surname	
Inventor's Signature <u>Markku</u>		Date <u>19/6/01</u>	
Residence: Kotikatu 4 B 8, FIN-20700 Turku		State	Country Finland <u>FIN</u> Citizenship Finland
Mailing Address same as residence			
Mailing Address			
City	State	Zip	Country
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name <u>Matti</u> (first and middle [if any])		Family Name <u>KARVONEN</u> or Surname	
Inventor's Signature <u>Matti</u>		Date <u>19/6/01</u>	
Residence: Kaskenkatu 11 C 54, FIN- 20700 Turku		State	Country Finland <u>FIN</u> Citizenship Finland
Mailing Address same as residence			
Mailing Address			
City	State	Zip	Country
NAME OF THIRD INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name <u>Ulla-Mari</u> (first and middle [if any])		Family Name <u>PESONEN</u> or Surname	
Inventor's Signature <u>Ulla-Mari</u>		Date <u>18/6/01</u>	
Residence: Luodikkokuja 6, FIN-20900 Turku		State	Country Finland <u>FIN</u> Citizenship Finland
Mailing Address same as residence			
Mailing Address			
City	State	Zip	Country

09/937899

28 SEP 2001

NAME OF FOURTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) <u>Matti</u>		Family Name or Surname <u>UUSITUPA</u>	
Inventor's Signature 		Date <u>June 22 01</u>	
Residence: <u>Vätilahdentie 10, FIN-70260 Kuopio</u>	State	Country <u>Finland</u>	Citizenship <u>Finland</u>
Mailing Address			
Mailing Address			
City	State	Zip	Country
NAME OF FIFTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: <u>City</u>	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
NAME OF SIXTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: <u>City</u>	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
NAME OF SEVENTH INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: <u>City</u>	State	Country	Citizenship

1
SEQUENCE LISTING

<110> Hormos Medical Oy Ltd

<120> Diagnosis of a Person's Risk of Developing
Atherosclerosis or Diabetic Retinopathy Based on Leucine
7 to Proline 7 Polymorphism in the Prepro-Neuropeptide
Y Gene.

<130> AP2617

<140>
<141><150> US 09/291,994
<151> 1999-04-15

<160> 6

<170> PatentIn Ver. 2.0

<210> 1
<211> 325
<212> DNA
<213> Homo sapiens<400> 1
ccgcttcttc aggcaagtgcc tggggcggga gggttgggt gtgggtggct ccctaagtcg 60
acactcgtgc ggctgcggtt ccagccccct ccccccggca ctcaggggcg ggaagtggcg 120
ggtgggagtc acccaagcgt gactgcccga ggccccctct gccgcggcga ggaagctcca 180
taaaaagccct gtcgcgaccc gcctctgca ccccatccgc tggctctcac ccctcgagaga 240
cgctcgcccg acagcatagt acttgcgcgc cagccacgcgc cgccgcggcag ccaccgtgag 300
tgctacgacc cgtctgtctta ggggt 325<210> 2
<211> 247
<212> DNA
<213> Homo sapiens<400> 2
cccgccgtt gagccttctg tgcctgcaga tgctaggtaa caagcgactg gggctgtccg 60
gactgaccct cgccctgtcc ctgctcgtgt gcctgggtgc gctggccgag gcgtacccct 120
ccaagccgga caacccgggc gaggacgcac cagcggagga catggccaga tactactcag 180
cgctgcgaca ctacatcaac ctcatcacca ggcagaggtg ggtgggaccg cgggaccgat 240
tccggga 247<210> 3
<211> 142
<212> DNA
<213> Homo sapiens<400> 3
acttgcttta aaagactttt tttttccag atatggaaaa cgatctagcc cagagacact 60
gatttcagac ctcttgatga gagaaagcac agaaaatgtt cccagaactc ggtatgacaa 120
ggcttgcgtat ggggacattt tt 142

<210> 4
<211> 300
<212> DNA
<213> Homo sapiens

<400> 4
ccttacatgc tttgcttctt atgtttaca ggcttgaaga ccctgcaatg tggtgatgg 60
aaatgagact tgctctctgg cctttccta tttcagccc atatccatc gtgtaaaacg 120
agaatccacc catcctacca atgcattgcag ccactgtgct gaattctgca atgtttcct 180
ttgtcatcat tgtatatatg tgtgttaaa taaagtatca tgcattcaaa agtgtatcct 240
cctcaatgaa aatctatca caatagttag gattatttc gttaaactta ttattaacaa 300

<210> 5
<211> 551
<212> DNA
<213> Homo sapiens

<220>
<221> CDS
<222> (87)..(377)

<220>
<221> sig_peptide
<222> (87)..(170)

<400> 5
accccatccg ctggctctca cccctcggag acgctcgccc gacagcatag tacttgccgc 60
ccagccacgc cggcgccca gccacc atg cta ggt aac aag cga ctg ggg ctg 113
Met Leu Gly Asn Lys Arg Leu Gly Leu
1 5
tcc gga ctg acc ctc gcc ctg tcc ctg ctc gtg tgc ctg ggt gcg ctg 161
Ser Gly Leu Thr Leu Ala Leu Ser Leu Leu Val Cys Leu Gly Ala Leu
10 15 20 25
gcc gag gcg tac ccc tcc aag ccg gac aac ccg ggc gag gac gca cca 209
Ala Glu Ala Tyr Pro Ser Lys Pro Asp Asn Pro Gly Glu Asp Ala Pro
30 35 40
gcg gag gac atg gcc aga tac tac tcg gcg ctg cga cac tac atc aac 257
Ala Glu Asp Met Ala Arg Tyr Tyr Ser Ala Leu Arg His Tyr Ile Asn
45 50 55
ctc atc acc agg cag aga tat gga aaa cga tcc agc cca gag aca ctg 305
Leu Ile Thr Arg Gln Arg Tyr Gly Lys Arg Ser Ser Pro Glu Thr Leu
60 65 70
att tca gac ctc ttg atg aga gaa agc aca gaa aat gtt ccc aga act 353
Ile Ser Asp Leu Leu Met Arg Glu Ser Thr Glu Asn Val Pro Arg Thr
75 80 85
cgg ctt gaa gac cct gca atg tgg tgatggaaa tgagacttgc tctctggcct 407
Arg Leu Glu Asp Pro Ala Met Trp
90 95
tttcctattt tcagccata tttcatcgta taaaacgaga atccacccat cctaccaatg 467
catgcagcca ctgtgctgaa ttctgcaatg ttttcctttg tcattcattgt atatatgtgt 527
gtttaaataaa agtatcatgc attc 551

<210> 6
<211> 97
<212> PRT
<213> Homo sapiens

<400> 6
Met Leu Gly Asn Lys Arg Leu Gly Leu Ser Gly Leu Thr Leu Ala Leu
1 5 10 15
Ser Leu Leu Val Cys Leu Gly Ala Leu Ala Glu Ala Tyr Pro Ser Lys
20 25 30
Pro Asp Asn Pro Gly Glu Asp Ala Pro Ala Glu Asp Met Ala Arg Tyr
35 40 45
Tyr Ser Ala Leu Arg His Tyr Ile Asn Leu Ile Thr Arg Gln Arg Tyr
50 55 60
Gly Lys Arg Ser Ser Pro Glu Thr Leu Ile Ser Asp Leu Leu Met Arg
65 70 75 80
Glu Ser Thr Glu Asn Val Pro Arg Thr Arg Leu Glu Asp Pro Ala Met
85 90 95

Trp